

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO001	\$12,900	\$15,000	\$15,000

**TITLE:**

DELPHI - Departmental Accounting and Financial Information System (DAFIS)

**DESCRIPTION:**

"The high cost of managing and maintaining "in-house" developed applications, the lengthy and cumbersome change management process for such applications, and the significant impact of Year 2000 renovations required DOT to move aggressively to evolve its aging financial system to a modern, commercial-off-the-shelf (COTS) solution which represent and automate best practices in financial management. To achieve this vision, in 1997, an operational capabilities demonstration (OCD) of the U.S. Coast Guard's COTS financial management system concluded that DAFIS could evolve to meet current and future needs of the Department. The evolution of DAFIS will increase services at reduced costs of operation and create a more integrated FM systems environment that supplies valuable information to its program, budget, and financial managers"

**CONTACT:** Jack Fattes 202-366-5905

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO002	\$7,493	\$7,831	\$8,060

**TITLE:**

CONSOLIDATED UNIFORM PAYROLL SYSTEM (CUPS)

**DESCRIPTION:**

FAA is the executive agent for operation of the Department-wide payroll system. Funds are required to support maintenance and enhancement of the system and processing of time and attendance data. Operations funds are required at Southern Region and the Aeronautical Center Payroll Processing centers and for ADP services at the Aeronautical Center for central processing. Reimbursable positions at OST support the overall operation of the system. Costs will be impacted by FAA's implementation of personnel reform and the suspension of IPPS development. We are migrating off the system. It has reached the end of its life cycle. There is no development taking place.

**CONTACT:** Ahn Bolles (202) 366-5564

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO003	\$100	\$0	\$0

**TITLE:**

PERDIEMAZING TRAVEL MANAGEMENT SYSTEM (PDTMS)

**DESCRIPTION:**

In June 1995, OST entered into a five year contract with Aldmyr Systems Inc. (ASI) for their travel management system, PDTMS. PDTMS is commercial off-the-shelf (COTS) software that will support the complete range of transactions associated with temporary duty travel and relocation travel performed by Government personnel. The contract calls for several upgrades to PDTMS to ensure full compliance with both the Federal Travel Regulation and the Joint Financial

Management Improvement Program Travel System Requirements document. These upgrades include on-line access to Government contract fares for airlines and rental cars as well as to hotel/motel rates offered to Government travelers.

In August 1995, we tested and conditionally accepted the baseline PDTMS product. Our conditional acceptance committed ASI to deliver additional upgrades to PDTMS in accordance with an established schedule that wraps up in December 1998. OST is the main test site and it put the baseline PDTMS into production on October 1, 1995. The PDTMS interface to our accounting system (DAFIS) was put into production in January 1997. Now, travel transactions enter DAFIS electronically and our accounting offices are freed from manually entering this information from paper documents. Paper in the form of authorizations, vouchers and receipts no longer flow to the accounting office. Accounting offices review vouchers only on a statistical sampling basis. Program offices are responsible for maintaining travel receipts and making them accessible to reviewing authorities, e.g., Office of Inspector General, General Accounting Office and the DOT accounting offices, when the statistical sample flags the travel voucher for

**CONTACT:** Al Robinson 202-366-1773

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO004	\$640	\$260	\$260

**TITLE:**

DOT CONTRACT INFORMATION SYSTEM (CIS)

**DESCRIPTION:**

The CIS collects DOT-wide data required by statute on contract awards by all elements in DOT. The system is used to provide input to the Governmentwide Federal Procurement Data System.

The CIS is also use to provide contract information to Departmental managers, Members of Congress, auditors, and the general public. The CIS is funded through the Working Capital Fund, with most costs charged back to the operating administrations. The CIS is operated by HHS through an interagency agreement.

**CONTACT:** ROBERT TAYLOR 202-366-4289

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO005	\$100	\$60	\$60

**TITLE:**

DOT GRANT INFORMATION SYSTEM (GIS)

**DESCRIPTION:**

The GIS collects DOT-wide data on Federal financial assistance awards by all elements in DOT.

The system is used to provide input to the Governmentwide Federal Assistance Award Data System (FAADS). The GIS is also use to provide grant award information to Departmental managers, Members of Congress, auditors, and the general public. The system was redesigned in Fiscal Year 1998 to be Year 2000 compliant and easier to maintain. The GIS is funded through the Working Capital Fund, with most costs charged back to the operating administrations. The system was further upgraded in FY 2000 to move from a pc and main frame based system to a total pc-based system on Access2000.

**CONTACT:** Robert Taylor 202-366-4289

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO009	\$25	\$80	\$100

**TITLE:**

ELECTRONIC POSTING SYSTEM

**DESCRIPTION:**

The Electronic Posting System is a government developed system to post solicitations and other business opportunities on the web. It allows contract specialists to directly post their small and large contracts on DOT's web site and it allows vendors to search on one site for business opportunities. Future development includes allowing bids to be received via the system, gathering statistics required by the Office of Federal Procurement Policy (OFPP) automatically, posting grant opportunities, and posting procurement forecasts.

**CONTACT:** Lesley Field 202-366-4960

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO010	\$100	\$70	\$0

**TITLE:**

CASE MANAGEMENT SYSTEM (CMS)

**DESCRIPTION:**

S-31 developed and implemented the automated tracking systems for internal complaints against DOT and for Disadvantaged Business Enterprise (DBE) certification appeals filed with DOT. These systems are called Case Management System (CSM) and DBE Appeals, respectively. These systems represent major milestones for DOT in that they provide, for the first time, the capability to track all complaints filed with the Department and to analyze trends, processing timeframes, investigate workloads, and to identify "EEO hot-spots" in the Department. Case Manager also provides, for the first time in the Department, the means to respond to statutory reporting requirements re complaints loads, complaints processing and

**CONTACT:** Garry Zion 366-8741

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO011	\$15	\$15	\$0

**TITLE:**

DISADVANTAGED BUSINESS ENTERPRISE (DBE)

**DESCRIPTION:**

The Disadvantaged Business Enterprise (DBE) Appeals system is used to track and report DBE and Third Party complaints. The system is written in Clipper language, operates on standard IBM PCS and tracks 41 fields of alphabetic and numeric material per appeal case. Total system volume is approximately 1000 appeal cases; about 200-300 new cases are added each year and about 300 cases are closed annually. Work on the system is performed off-site by the contractor and then installed, tested and evaluated on-site at the DOCR Washington Regional Office.

**CONTACT:** Amy Baker 202-366-1779

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO012	\$25	\$50	\$0

**TITLE:**

EXTERNAL CASE TRACKING SYSTEM (XTRAK)

**DESCRIPTION:**

XTRAK was developed to record and report selected data about external civil rights cases. It will be used by DOCR, the Operating Administrations (OA) Civil Rights headquarters (HQ) offices, and possibly regional Civil Rights offices, to report on the following types of cases; external complaints, external complaints that become compliance reviews; and compliance reviews that are initiated independently of an external complaint (i.e., compliance reviews that do not start out as external complaints). The system will provide an audit trail of record changes to support data verification. XTRAK has security features to ensure that only authorized users access and modify case records.

**CONTACT:** Gary Zion (202) 366-8741 / Janet DeVries 202) 366-1785

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO013	\$2,033	\$2,094	\$2,157

**TITLE:**

OST LOCAL AREA NETWORK (LAN)

**DESCRIPTION:**

The portion of the Office of the Secretary Local Area Network funded by this profile services approximately 330 employees. These employees are from: all "S" Offices , the Office of the General Counsel, the Office of the Assistant Secretary for Administration, the Office of the Assistant Secretary for Government Affairs, and the Office of Public Affairs. LAN Services, which include help desk, Network, e-mail and internet connectivity, are provided via contractor. Costs are for the annual LAN services contract and for purchase of desktop hardware/software using a 2 year life-cycle.

**CONTACT:** Tim Horkan 202-366-6516

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO014	\$678	\$696	\$780

**TITLE:**

OST IT Applications Support - OSTOO014 has been incorporated into this initiative

**DESCRIPTION:**

To achieve better management and more efficient use of OST IRM resources, funding for application support for most OST organizations is pooled together and managed by the Office of the Chief Information Officer. These organizations are: all "S" offices except S-30, The Office of General Counsel, The Office of The Assistant Secretary for Administration, The Office of The Assistant Secretary for Governmental Affairs, and The Office of Public Affairs. OST systems include the Executive Correspondence Tracking System, The Performance Agreement Tracking System, the (AIRLINE) Consumer Compliant Information System, General Counsel's Regulation Data Base system, and several case tracking systems. Costs also include charges to access

statistical information from RSPA's mainframe data bases by the Office of the Assistant Secretary for Aviation and International Affairs.

**CONTACT:** Tim Horkan 202-366-6516

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO015	\$341	\$647	\$744

**TITLE:**

Chief Financial Officer Local Area Network (cfo-Lan)

**DESCRIPTION:**

The CFO-LAN is used within the Office of the Assistant Secretary for Budget and Programs/Chief Financial Officer to support the basic mission of the organization.

**CONTACT:** David Kleinberg 202-366-9192

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO016	\$6,836	\$6,847	\$6,996

**TITLE:**

Integrated Personnel and Payroll System (IPPS)

**DESCRIPTION:**

The Department's Integrated Personnel and Payroll System (IPPS) allows on-line time collection, personnel, and training input for approximately 63,000 DOT civilian employees. IPPS also provides a client-server based human resources management information reporting capability for extracting IPPS data in a client-server environment.

IPPS complies with existing statutes and current computer security policy or guidance issued by the Office of Management and Budget, the General Accounting Office, and the National Institute for Standards and Technology.

**CONTACT:** Bill Rutherford 202-366-6311 (M-12)

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO017	\$1,731	\$1,656	\$1,699

**TITLE:**

Consolidated Personnel Management Information System (CPMIS)

**DESCRIPTION:**

The Department's Consolidated Personnel Management Information System (CPMIS) provides personnel information for approximately 63,000 DOT civilian employees. CPMIS was developed in the early 1970's, and was established as a departmental system in 1981.

CPMIS complies with existing statutes and current computer security policy or guidance issued by the Office of Management and Budget, the General Accounting Office, and the National Institute for Standards and Technology.

**CONTACT:** Bill Rutherford 202-366-6311

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO023	\$0	\$350	\$1,000

**TITLE:**  
Electronic DOT (eDOT)

**DESCRIPTION:**

eDOT supports four corporate management strategies (i.e., ONE DOT, Customer Service Management, Information Technology Management, and Resource and Business Process Management). eDOT shifts the paradigm for providing goods and services and creates a shared DOT infrastructure that focuses on providing common services internally and externally. These include eCommerce (electronic malls, digital certificates), eCommunities (web conferencing, listservs, e-mail), eBenefits (grants), eOperations (emergency management responses), and eGovernmentwide (directory services).

**CONTACT:** Crystal Bush 202-366-9713

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO035	\$0	\$1,100	\$4,750

**TITLE:**  
DOT IT Security Implementation

**DESCRIPTION:**

Due to resource constraints and a wide variety of IT systems, the Department has been unable to widely implement several IT security technologies. As part of the Department's IT Security Program, DOT needs to move forward with the enterprise implementation of secure messaging, authentication, intrusion detection and monitoring, and security assurance services. These technologies will support the development and implementation of the DOT IT architecture required by the Clinger-Cohen Act, and address many of the IT security challenges facing the Department today. The Office of the CIO is also responsible for monitoring the Department's progress in achieving compliance with Presidential Decision Directive (PDD) 63. The Department must achieve compliance by May 2003, and current staffing levels are not adequate to effectively monitor the program. In addition, the OST needs to conduct a risk assessment and perform any necessary remediation for its LAN operations and DOT's administrative systems. The OST also needs to provide more rigorous operational security support for its

**CONTACT:** Dale Hamilton, 202-366-9715

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OSTOO037	\$2,200	\$2,300	\$2,400

**TITLE:**  
DOCKET

**DESCRIPTION:**

The Dockets Management System has been integrated into the Department's IT Architecture, including security provisions of the system.

**CONTACT:** Charlotte Boech (202) 366-9145

<b>OA:</b>	<b>INITIATIVE ID:</b>	<b>FY-2000:</b>	<b>FY-2001:</b>	<b>FY-2002:</b>
OST	OST00038	\$0	\$0	\$2,900

**TITLE:**

Human Resources Information System

**DESCRIPTION:**

This capital investment will procure commercial-off-the-shelf (COTS) systems and applications for human resources (HR) functions that will streamline management activities such as position management and classification, recruitment and staffing, personnel action administration, benefits administration, labor management and employee relations, and workforce development for all DOT Operating Administrations except the FAA. There is a separate HRIS modernization initiative being pursued by the FAA. The COTS product selected will provide the functional system requirements called for by the federal Joint Financial Management Improvement Program (JFMIP) plus the option to add any agency unique requirements. Market research indicates that vendor solutions are in the final stages of "Federalizing" the HR product which will increase the percentage of federal requirements "out-of-the-box". Funding requested in this capital investment includes system integration services. There are many system integrators in partnerships with the primary products available, and experienced with

**CONTACT:** Tom Garell 202 366-5020